



PTO/SB/21 (08-03)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/656,748
	Filing Date	September 5, 2003
	First Named Inventor	Steven M. Gorelick
	Art Unit	Not yet assigned
	Examiner Name	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	A0090/285710

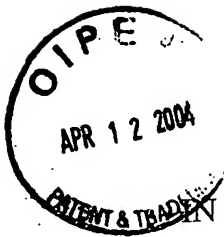
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Christopher J. Chan, Reg. No. 44,070 Kilpatrick Stockton LLP
Signature	
Date	April 9, 2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Christopher J. Chan		
Signature		Date	April 9, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Gorelick

Serial No.: 10/656,748

Filed: September 5, 2003

For: METHODS AND SYSTEMS FOR AUTOMATICALLY DETERMINING  
AND COLLECTING A MONETARY CONTRIBUTION FROM AN  
INSTRUMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), enclosed are copies of the references listed on the attached From PTO/SB/08.

The undersigned does not concede that any of the identified materials constitute prior art within the meaning of the United States patent laws.

This Information Disclosure Statement is being filed before the mailing a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)); therefore, no fee is required. However, if a first Office Action on the merits has issued, please consider this Information Disclosure

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#### CERTIFICATE OF MAILING (37 CFR 1.8a)

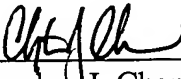
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on this 9<sup>th</sup> day of April 2004 with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



U.S.S.N.: 10/656,748  
Filed: September 5, 2003  
Information Disclosure Statement

Statement under 37 § 1.97(c). The Commissioner is hereby authorized to charge the applicable fees, if any, to Deposit Account No. 11-0855.

Respectfully submitted,

  
\_\_\_\_\_  
Christopher J. Chan  
Reg. No. 44,070

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Attorney Docket No.: A0090/285710

Substitute for form 1449A/F-10

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

<i>Application Number</i>	10/656,748
<i>Filing Date</i>	September 5, 2003
<i>First Named Inventor</i>	Steven M. Gorelick
<i>Art Unit</i>	Not yet assigned
<i>Examiner Name</i>	Not yet assigned
<i>Attorney Docket Number</i>	A0090-285710

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-6,253,998	07-03-2001	Ziarno	
	2	US- 6,115,680	09-05-2000	Coffee et al.	
	3	US- 6,112,191	08-29-2000	Burke	
	4	US- 6,088,682	07-11-2000	Burke	
	5	US- 5,960,411	09-28-1999	Hartman et al.	
	6	US- 5,806,045	09-08-1998	Biorge et al.	
	7	US- 5,794,207	08-11-1998	Walker et al.	
	8	US- 5,724,518	03-03-1998	Helbling	
	9	US- 5,621,640	04-15-1997	Burke	
	10	US- 5,555,497	09-10-1996	Helbling	
	11	US- 5,546,303	08-13-1996	Helbling	
	12	US- 5,506,393	04-09-1996	Ziarno	
	13	US- 5,466,919	11-14-1995	Hovakimian	
	14	US- 5,404,985	04-11-1995	Baughman	
	15	US- 5,302,811	04-12-1994	Fukatsu	
	16	US- 2003/0065572	04-03-2003	McNee et al.	
	17	US- 2003/0028483	02-06-2003	Sanders et al.	
	18	US- 2002/0174063	11-21-2002		
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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